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J.FW

PE CONTRACTOR

Practitioner's Docket No. \_\_2002-IP-009210U1

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| of   | Inventor(s)  |
|--|--|
| or   |  |
|  | Title of invention   |
|  | OR   |
| re application of: Philip D. N   | guyen, et al   |
| pplication No.: 0 10/608,291 iled: 06/27/2003  | Examiner:  |
| or: Compositions and Method<br>And Fracture Conductivi<br>Iall Stop Amendment  | s for Improving ProppantPPack Permeabili<br>ty in a Subterranean Well  |
| ommissioner for Patents  |  |
| .O. Box 1450   |  |
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| lexandria, VA 22313-1450   | RMATION DISCLOSURE STATEMENT   |
| Iexandria, VA 22313-1450  TRANSMITTAL OF INFO WITHIN THRE BEFORE MAILING OF FIR  CERTIFICATION US (When using Express Mail,  | PRMATION DISCLOSURE STATEMENT SEE MONTHS OF FILING OR ST OFFICE ACTION (37 C.F.R. § 1.97(b))  NDER 37 C.F.R. §§ 1.8(a) and 1.10° the Express Mail label number is mandatory;   |
| Iexandria, VA 22313-1450  TRANSMITTAL OF INFO WITHIN THRE BEFORE MAILING OF FIR  CERTIFICATION US (When using Express Mail, Express /  | EE MONTHS OF FILING OR ST OFFICE ACTION (37 C.F.R. § 1.97(b))  NDER 37 C.F.R. §§ 1.8(a) and 1.10° the Express Mail label number is mandatory; Mail certification is optional.)   |
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| TRANSMITTAL OF INFO WITHIN THRE BEFORE MAILING OF FIR  CERTIFICATION US (When using Express Mail, Express / ereby certify that, on the date shown below deposited with the United States Postal Se Box 1450, Alexandria, VA 22313-1450 37 C.F.R. § 1.8(a)  | EE MONTHS OF FILING OR ST OFFICE ACTION (37 C.F.R. § 1.97(b))  NDER 37 C.F.R. §§ 1.8(a) and 1.10° the Express Mail label number is mandatory; Mail certification is optional.)  v, this correspondence is being: MAILING  rvice in an envelope addressed to Commissioner for Patenta, P.O.  37 C.F.R. § 1.10 °  as "Express Mail Post Office to Addressee"   |
| TRANSMITTAL OF INFO WITHIN THRE BEFORE MAILING OF FIR  CERTIFICATION US (When using Express Mail, Express / ereby certify that, on the date shown below deposited with the United States Postal Se Box 1450, Alexandria, VA 22313-1450 37 C.F.R. § 1.8(a)  | EE MONTHS OF FILING OR ST OFFICE ACTION (37 C.F.R. § 1.97(b))  NDER 37 C.F.R. §§ 1.8(a) and 1.10° the Express Mail label number is mandatory; Mail certification is optional.)  v, this correspondence is being: MAILING  rvice in an envelope addressed to Commissioner for Patents, P.O.  37 C.F.R. § 1.10°  |
| TRANSMITTAL OF INFO WITHIN THRE BEFORE MAILING OF FIR  CERTIFICATION US (When using Express Mail, Express I hereby certify that, on the date shown below deposited with the United States Postal Sel Box 1450, Alexandria, VA 22313-1450 37 C.F.R. § 1.8(a) with sufficient postage as first class mail.                         | EE MONTHS OF FILING OR  ST OFFICE ACTION (37 C.F.R. § 1.97(b))  NDER 37 C.F.R. §§ 1.8(a) and 1.10°  the Express Mail label number is mendatory; Mail certification is optional.)  v, this correspondence is being:  MAILING  arvice in an envelope addressed to Commissioner for Patents, P.O.  37 C.F.R. § 1.10°  as "Express Mail Post Office to Addressee"  Mailing Label No (mandatory)  TRANSMISSION                    |
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| Iexandria, VA 22313-1450  TRANSMITTAL OF INFO WITHIN THRE BEFORE MAILING OF FIR  CERTIFICATION US (When using Express Mail, Express / ereby certify that, on the date shown below deposited with the United States Postal Se Box 1450, Alexandria, VA 22313-1450 37 C.F.R. § 1.8(a) with sufficient postage as first class mail. | EE MONTHS OF FILING OR  ST OFFICE ACTION (37 C.F.R. § 1.97(b))  NDER 37 C.F.R. §§ 1.8(a) and 1.10°  the Express Mail label number is mendatory; Mail certification is optional.)  v, this correspondence is being:  MAILING  arvice in an envelope addressed to Commissioner for Patents, P.O.  37 C.F.R. § 1.10 °  as "Express Mail Post Office to Addressee"  Mailing Label No (mandatory)  TRANSMISSION                   |

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6–3]—page 1 of 3)

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NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.
- WARNING: No extension of time can be had under 37 C.F.R. § 1.138 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).
- NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the international Bureau, and optionally an English translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period, it is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]—page 2 of 3)

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NOTE: "An action on the merita means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merita would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39),

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as falling to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

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(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6–3]—page 3 of 3)

#### PATENT 2002-IP-009210U1

| 15 MM IN TH | E UNITED STATES PATENT AND   | TRADEMARK OFFICE       |
|-------------|--|------------------------|
| Applicants: | Philip D. Nguyen, et al  | ) ) Art Unit: Unknown  |
| Serial No.: | 10/608,291   | ) Alt offic: officiown |
| Filed:      | 06/27/2003   | ) Examiner: Unknown    |
| For:        | Compositions and Methods<br>For Improving Proppant<br>Pack Permeability and<br>Fracture Conductivity<br>In a Subterranean Well | )<br>)<br>)<br>)       |

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS Alexandria, VA 22313-1450

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

#### **U. S. PATENTS**

- U.S. Patent Number 4,817,721 issued 04/04/89 to Kenneth W. Pober;
- U.S. Patent Number 5,142,023 issued 08/25/92 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,247,059 issued 09/21/93 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,359,026 issued 10/25/94 to Patrick R. Gruber;
- U.S. Patent Number 5,475,080 issued 12/12/95 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,484,881 issued 01/16/96 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,536,807 issued 07/16/96 to Patrick R. Gruber, et al;

- U.S. Patent Number 5,594,095 issued 01/14/97 to Patrick R. Gruber, et al;
- U.S. Patent Number 5,839,510 issued 11/24/98 to Jim D. Weaver, et al;
- U.S. Patent Number 5,849,401 issued 12/15/98 to Ali Zakareya El-Afandi, et al;
- U.S. Patent Number 6,326,458 B1 issued 12/04/01 to Patrick Richard, Gruber, et al;
- U.S. Patent Number 6,439,309 B1 issued 08/27/02 to Ronald M. Matherly, et al:
- U.S. Patent Number 6,488,091 B1 issued 12/03/02 to Jim D. Weaver, et al;
- U.S. Patent Application Number 10/947,427 filed 09/20/04, *Methods for Improving Proppant Pack Permeability and Fracture Conductivity in a Subterranean Well* by Matthew E. Blauch, et al, (Ref. No. 2002-IP-009210U1P1);
- U.S. Patent Application, Serial No. Unassigned and filing date currently unknown,

  Compositions and Methods for Improving Fracture Conductivity in a Subterranean Well

  by Bradley L. Todd, et al, (Ref. No. 2002-IP-009210U1P2); and
- U.S. Patent Application, Serial No. Unassigned and filing date currently unknown,

  Compositions and Methods for Improving Fracture Conductivity in a Subterranean Well

  by Bradley L. Todd, et al, (Ref. No. 2002-IP-009210U1P3).

#### **FOREIGN PATENTS**

Int'l Patent Publication No. WO 93/15127 A1 published 08/05/93 by Patrick R. Gruber, et al;

Int'l Patent Publication No. WO 94/07949 A1 published 04/14/94 by Patrick Richard Gruber, et al;

Int'l Patent Publication No. WO 94/08078 A1 published 04/14/94 by Patrick Richard

Gruber, et al;

Int'l Patent Publication No. WO 94/08090 A1 published 04/14/94 by Patrick Richard

Gruber, et al;

Int'l Patent Publication No. WO 95/09879 A1 published 04/13/95 by Patrick Richard

Gruber, et al; and

Int'l Patent Publication No. WO 97/11845 A1 published 04/03/97 by Ali Zakareya El-

Afandi.

#### **PAPERS/OTHER**

None.

#### **BROCHURES**

None.

Copies of the aforementioned non-patent references and Form PTO-1449 are submitted herewith.

Respectfully submitted,

Robert A. Kent

Registration No. 28,626

Halliburton Energy Services

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580-251-3125

PTO-1449

Information Disclosure Citation
in an Application

Application No. 10/608,291

Applicant(s):

PHILIP D. NGUYEN, ET AL

Docket Number 2002-IP-009210U1

Group Art Unit

Filing Date 06/27/2003

#### **U.S. PATENT DOCUMENTS**

|     | DOCUMENT NO. | DATE     | NAME             | CLASS             | SUBCLASS | FILING DATE                      |  |
|-----|--------------|----------|------------------|-------------------|----------|----------------------------------|--|
| 1.  | 4,817,721    | 04/04/89 | Pober            | 166               | 295      | 12/14/87                         |  |
| 2.  | 5,142,023    | 08/25/92 | Gruber, et al    | 528               | 354      | 01/24/92                         |  |
| 3.  | 5,247,059    | 09/21/93 | Gruber, et al    | 528               | 354      | 08/24/92                         |  |
| 4.  | 5,359,026    | 10/25/94 | Gruber           | 528               | 354      | 07/30/93<br>03/22/93             |  |
| 5.  | 5,475,080    | 12/12/95 | Gruber, et al    | 528               | 354      |                                  |  |
| 6.  | 5,484,881    | 01/16/96 | Gruber, et al    | 528               | 354      | 08/23/93                         |  |
| 7.  | 5,536,807    | 07/16/96 | Gruber, et al    | 528               | 354      | 08/23/93<br>07/27/94<br>01/14/97 |  |
| 8.  | 5,594,095    | 01/14/97 | Gruber, et al    | 528               | 354      |                                  |  |
| 9.  | 5,839,510    | 11/24/98 | Weaver, et al    | 166               | 276      |                                  |  |
| 10. | 5,849,401    | 12/15/98 | El-Afandi, et al | Afandi, et al 428 |          | 05/03/96                         |  |
| 11. | 6,326,458 B1 | 12/04/01 | Gruber, et al    | 528               | 354      | 10/07/93                         |  |
| 12. | 6,439,309 B1 | 08/27/02 | Matherly, et al  | 166               | 276      | 12/13/00                         |  |
| 13. | 6,488,091B1  | 12/03/02 | Weaver, et al    | 166               | 300      | 06/11/01                         |  |

#### **FOREIGN PATENT DOCUMENTS**

|    | DOCUMENT NO. DATE |          | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|----|-------------------|----------|---------|-------|----------|-------------|----|
|    | DOCUMENT NO.      | DAIL     | COUNTRY | CLASS | SUBCLASS | YES         | NO |
| A. | WO 93/15127 A1    | 08/05/93 | PCT     | C08G  | 63/06    | x           |    |
| В. | WO 94/07949 A1    | 04/14/94 | PCT     | C08K  | 11/00    | ×           |    |
| C. | WO 94/08078 A1    | 04/14/94 | PCT     | D01F  | 6/62     | Х           |    |
| D. | WO 94/08090 A1    | 04/14/94 | PCT     | D21H  | 19/28    | ×           |    |

#### **NON-PATENT DOCUMENTS**

|  | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) | DATE |  |  |  |
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**EXAMINER** 

**DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

|   |   |  | <del> </del>              |                                   | Application No.  |                                       |                                       | 1/->      |                           |             |                  |  |
|---|---|--|---------------------------|-----------------------------------|--|---------------------------------------|---------------------------------------|-----------|---------------------------|-------------|------------------|--|
| PTO-1449  |   |  |                           | Application No. 10/608,291        | A  | Applicant(s): PHILIP D. NGUYEN, ET AL |                                       |           |                           |             |                  |  |
| Information Disclosure Citation in an Application |   |  |                           | Docket Number<br>2002-IP-009210U1 | Group Art Unit F   |                                       |                                       |           | Filing Date<br>06/27/2003 |             |                  |  |
| U.S. PATENT DOCUMENTS                             |   |  |                           |                                   |  |                                       |                                       | .1        | -                         |             |                  |  |
|   |   | DOCUMENT NO. DATE                              |                           | NAME                              |  | CLASS SUB                             |                                       | SUBCLA    | ASS                       | FILING DATE |                  |  |
|   | 14.   | US Patent App.<br>No. 10/947,427               |                           |                                   | et al (Ref. No. 2002-<br>10U1P1)                         |                                       | -                                     |           |                           | 09/20/04    |                  |  |
|   | 15.   | US Patent App.<br>(Serial No.<br>Unassigned)   |                           |                                   | t al (Ref. No. 2002-<br>10U1P2)                          |                                       | •                                     | -         |                           | -           |                  |  |
|   | 16.   | US Patent App.<br>(Serial No.<br>Unassigned)   | o. PE -/C/ Todd, e        |                                   | t al (Ref. No. 2002-<br>10U1P3)                          | -                                     |                                       | <u>-</u>  | _                         |             | -                |  |
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|   |   | DOCUMENT NO.                                   | DATE                      | COUNT                             | RY   | CLA                                   | LASS SUBCLAS                          |           | ASS                       | YES         | NO               |  |
|   | E.  | WO 95/09879 A1                                 | 04/13/95                  | PCT                               |  | С                                     | 08G                                   | 63/08     | 8                         | Х           |                  |  |
|   | F.  | WO 97/11845 A1                                 | 04/03/97                  | PCT                               |  | В                                     | 32B                                   | 27/08     | 8                         | X           |                  |  |
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|   | ON-PA   | ATENT DOCUMENTS                                |                           |                                   |  | <u> </u>                              | · · · · · · · · · · · · · · · · · · · | <u></u>   |                           |             | <u></u>          |  |
|   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) |  |                           |                                   |  |                                       |                                       |           | DATE                      |             |                  |  |
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